# **RECEIVED**

## FAX TRANSMISSION

AUG 15 2008

PCT LEGAL ADMINISTRATION

DATE:

August 15, 2008

PTO IDENTIFIER:

**Application Number** 

10/520,688

Patent Number

Inventor:

Alan Roddis

**MESSAGE TO:** 

**FAX NUMBER:** 

(571) 273-0419

FROM:

DARBY & DARBY P.C.

William Lewis

PHONE:

(917) 286-2906

Attorney Dkt. #:

20750/0202318-US0

PAGES (Including Cover Sheet):

9

CONTENTS:

Request for Corrected Filing Receipt

If your receipt of this transmission is in error, please notify this firm immediately by collect call to sender at (212) 527-7704 and send the original transmission to us by return mall at the address below.

This transmission is intended for the sole use of the individual and entity to whom it is addressed, and may contain information that is privileged, confidential and exempt from disclosure under applicable law. You are hereby notified that any dissemination, distribution or duplication of this transmission by someone other than the intended addressee or its designated agent is strictly prohibited.

#### **DARBY & DARBY P.C.**

7 World Trade Center, 250 Greenwich St., New York, New York 10007-0042 Telephone: (212) 527-7700 Facsimile: (212) 527-7701 PTO/SB/97 (09-04)
Approved for use through 07/31/2008. OMB 0861-0031
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Application No. (if known): 10/520,688

Attorney Docket No.: 20750/0202318-US0

## Certificate of Transmission under 37 CFR 1.8

on	August 15, 2008 . Date		
	A January Signatur	gu,	
	William Le	wis	
-	Typed or printed name of per	son signing Certificate	
Registration Number, if applicable		(917) 286-2906 Telephone Number	

So

Docket No.: 20750/0202318-US0

(PATENT)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Alan Roddis

Application No.: 10/520,688

Confirmation No.: 9784

Filed: June 27, 2005

**Art Unit: 3673** 

For: MECHANICAL SEAL BEARING

PROTECTOR

Examiner: V. A. Patel

## REQUEST FOR CORRECTED FILING RECEIPT

Office of Initial Patent Examination's Filing Receipt Corrections Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicant hereby requests that a corrected Filing Receipt be issued in the aboveidentified patent application. The official Filing Receipt received by Applicant, a copy of which is attached hereto, has an error in the Foreign Applications.

Enclosed please find a copy of the Application Data Sheet that shows the correct Foreign Applications:

UNITED KINGDOM 0215750.1 07/08/2002 UNITED KINGDOM 0221729.7 09/19/2002

Applicant additionally requests that all pertinent U.S. Patent and Trademark Office records relating to the subject application be changed to reflect this correction.

80

89

Application No.: 10/520,688

Docket No.: 20750/0202318-US0

Dated: August 13, 2008

Respectfully submitted,

Flynn Barrison

Registration No.: 53,970
DARBY & DARBY P.C.
P.O. Box 770
Church Street Station

New York, New York 10008-0770 (212) 527-7700

(212) 527-7701 (Fax)

Attorneys/Agents For Applicant



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMENCE United States Peters and Trademark Office Admic COMMISSIONER FOR PATENTS F.O. Bes 1430 Alexadra, Valida 2013-143

APPLICATION FILING or ORP ART UNIT FIL FEE RECD ATTY DOCKET.NO TOT CLAIMS IND CLAIMS 10/520,688 06/27/2005 3676 1980 20750/0202318-US0 37 1

7278
DARBY & DARBY P.C.
P.O. BOX 770
Church Street Station
New York, NY 10008-0770

CONFIRMATION NO. 9784
CORRECTED FILING RECEIPT



Date Mailed: 07/29/2008

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

#### Applicant(s)

Alan Roddis, Sheffleld, UNITED KINGDOM;

Power of Attorney: The patent practitioners associated with Customer Number 7278

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/GB03/02941 07/08/2003

Foreign Applications

UNITED KINGDOM 0215750.1 07/08/2002 UNITED KINGDOM 022179.7 09/19/2002

if Required, Foreign Filing License Granted: 07/28/2008

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US 10/520,688

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

Title

Mechanical Seal Bearing Protector

Preliminary Class

277

## PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process aimplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must lesue a license before applicants can apply for a patent in a foreign country. The filling of a U.S. patent application serves as a request for a foreign filling license. The application's filling receipt contains further information and guidance as to the status of applicant's license for foreign filling.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treatles and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce Initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotine at 1-866-999-HALT (1-866-999-4158).

## LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

#### GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as

page 2 of 3

set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to explanage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

10/520688 DT15 Rec'd PCT/PTO 0 5 JAN 2005

## **Application Data Sheet**

#### Application Information

Application Type:: Regular

Subject Matter:: Utility

Suggested Group Art Unit:: N/A

CD-ROM or CD-R?:: None

Sequence submission?:: None

Computer Readable Form (CRF)?:: No

Title:: ROTARY SEAL

Attorney Docket Number:: 00952/0202318-US0

Request for Early Publication?:: No

Request for Non-Publication?:: No

Suggested Drawing Figure:: 3

Small Entity?:: No

Petition included?:: No

Secrecy Order in Parent Appl.?:: No

#### Applicant Information

Applicant Authority Type:: Inventor

Primary Citizenship Country:: United Kingdom

Status:: Full Capacity

Given Name:: Alan

Family Name:: Roddls

City of Residence:: Sheffield

Country of Residence:: United Kingdom

Street of mailing address:: 51 Charlton Brook Crescent

Chapeltown

City of mailing address:: Sheffleld

Country of mailing address:: United Kingdom

Postal or Zip Code of mailing address:: \$35 2XR

## Correspondence Information

Correspondence Customer Number::

07278

Representative Information

Representative Customer Number::

07278

## **Domestic Priority Information**

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This Application	National Stage of	PCT/GB2003/002941	07/08/03

## **Foreign Priority Information**

Country::	Application number::	Filing Date::	Priority Claimed::
United Kingdom	0215750.1	07/08/02	Yes
United Kingdom	0221729.7	09/19/02	Yes

## **Assignee Information**

Assignee name::

**AES ENGINEERING LIMITED.** 

Street of mailing address::

Mill Close, Bradmarsh Business Park

Templeborough

City of mailing address::

Rotherham

Country of mailing address::

**United Kingdom** 

Postal or Zip Code of mailing address::

S60 1BZ

**2**001

\*\*\* RX REPORT \*\*\*

\*\*\*\*\*\*\*\*\*\*

RECEPTION OK

TX/RX NO

CONNECTION TEL

212 608 6732

CONNECTION ID

ST. TIME

08/15 16:12

USAGE T

01'44

9060

PGS.

9

RESULT

OK